

Thursday, December 1, 2016
2:00 – 7:00 p.m. (All times Pacific Standard Time)

SAN FRANCISCO INVESTMENT MANAGEMENT CONFERENCE

With Video Conference in: LA, Orange County, Portland, Seattle Offices

02:00—02:30pm	Participant Registration
02:30—02:35pm	Welcome and Opening Remarks Presented by <i>J. Matthew Mangan</i>
02:35—03:35pm	Session I: SEC Examination Priorities and Enforcement Trends Presented by <i>Vincente L. Martinez, J. Matthew Mangan, Robert M. Crea</i> This session will include: <ul style="list-style-type: none">• Exam Priorities• Overview of Key Enforcement Cases• Looking Ahead to 2017: What is Next in Enforcement?
03:35—04:00pm	Session II: DOL's Fiduciary Rule - Impact on Private Fund Managers Presented by <i>Robert L. Sichel, Ruth E. Delaney</i>
04:00—04:10pm	Break
04:10—04:55pm	Session III: Entrepreneurial Trends in the Financial Industry - FinTech Presented by <i>Sasha Burstein, Michael W. McGrath, Edward Dartley</i> This session will include: <ul style="list-style-type: none">• Robo-Advisers• Crowdfunding and MarketPlace/P2P Lending• AngelsList no action letter
04:55—05:30pm	Session IV: Trends in Private Fund Terms Presented by <i>Sonia R. Gioseffi, William Lin, Office of the City Attorney, San Francisco and Charles Nightingale, PAAMCO</i>
05:30—07:00pm	Cocktail Reception
