

August 2017

Practice Groups:

**Investment
Management, Hedge
Funds and
Alternative
Investments**

**Derivatives &
Structured Products**

**Hedge Funds &
Venture Funds**

QUICK LINK GUIDE FOR MiFID II GUIDANCE

Changes to the MiFID II regulatory regime will impose new obligations on many global investment managers both inside and outside the European Union (“EU”). While the precise scope of these obligations will depend, in many cases, on further regulatory guidance, it is clear that certain business practices that are common among global investment managers must be reassessed in light of the new regime.

Following are links to several resources to help you navigate MiFID II, including our Toolkit, which summarizes several key aspects of MiFID II that may impact the trading activities and other operations of global investment managers with offices or clients in the EU. For convenience, we have also including links to several regulators’ websites that contains useful information.

K&L Gates MiFID II Toolkit for Global Investment Managers:

Toolkit:

<http://www.klgateshub.com/details/?pub=MiFID-II-Toolkit-for-Global-Investment-Managers-07-20-2017>

European Securities and Markets Authority (ESMA) Links

- **MiFID II/MiFIR Homepage**
<https://www.esma.europa.eu/policy-rules/mifid-ii-and-mifir>
- **MiFID II and Investor Protection**
<https://www.esma.europa.eu/regulation/mifid-ii-and-investor-protection>
- **MiFID II Investor Protection Q&A**
https://www.esma.europa.eu/sites/default/files/library/esma35-43-349_mifid_ii_qa_on_investor_protection_topics.pdf
- **MiFIR Data Reporting Q&A**
https://www.esma.europa.eu/sites/default/files/library/esma70-1861941480-56_qas_mifir_data_reporting.pdf
- **MiFID II and MiFIR Market Structures Topics Q&A**
https://www.esma.europa.eu/sites/default/files/library/esma70-872942901-38_qas_markets_structures_issues.pdf
- **MiFID II and MIFIR Commodity Derivatives Topics Q&A**
https://www.esma.europa.eu/sites/default/files/library/esma70-872942901-28_cdtf_qas.pdf

QUICK LINK GUIDE FOR MiFID II GUIDANCE

European Commission (EC) Link:

- **Markets in Financial Instruments (MiFID II) - Directive 2014/65/EU**

https://ec.europa.eu/info/law/markets-financial-instruments-mifid-ii-directive-2014-65-eu_en

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